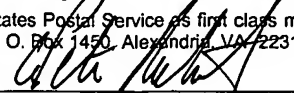


**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.9**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
 Date: August 4, 2006 Name: G. Peter Nichols Signature: 

**BRINKS  
HOFER  
GILSON  
& LIONE**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re Appln. of: Strezov et al.  
 Appln. No.: 10/530,835  
 Filed: February 21, 2006  
 For: Electrochemical Reduction of Metal Oxides  
 Attorney Docket No: 9378/188

Examiner: unknown  
 Art Unit: 1762  
 Confirmation No. 7769

Commissioner for Patents  
 P. O. Box 1450  
 Alexandria, VA 22313-1450

Attn: Application Processing Division  
 Customer Correction Branch

**TRANSMITTAL**

Sir:

**Attached is/are:**

- ☒ Request for Correction of Filing Receipt with photocopy of Filing Receipt (Date of Mailing: 05/15/06) and photocopy of USPTO Transmittal Letter dated 02/15/06 including Combined Declaration and Power of Attorney
- ☒ Return Receipt Postcard

**Fee calculation:**

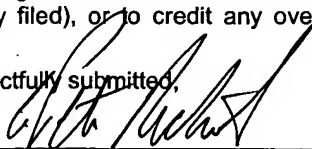
- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

**Fee payment:**

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,



August 4, 2006  
 Date

G. Peter Nichols (Reg. No. 34,401)

**BRINKS HOFER GILSON & LIONE**

NBC Tower – Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

**BRINKS  
HOFER  
GILSON  
& LIONE**

*18W*



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Date of Deposit

G. Peter Nichols

Name of applicant, assignee or  
Registered Representative

Signature

August 4, 2006

Date of Signature

Our Case No. 9378/188

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Strezov et al.

Serial No. 10/530,835

Filing Date: February 21, 2006

For Electrochemical Reduction of Metal  
Oxides

Examiner: unknown

Group Art Unit No. 1762

Confirmation No. 7769

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attention: Application Processing Division  
Customer Correction Branch

Sir:

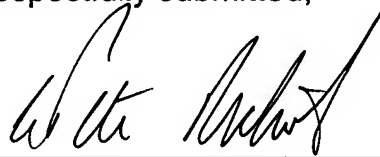
Due to inadvertent Patent Office clerical error, Applicants respectfully request the issuance of a corrected filing receipt (copy enclosed) for the above-referenced patent application, and in support of this request respectfully state:

Please correct the Filing Receipt mailed May 15, 2006 (attached) to properly include and reflect the names of all inventors as indicated on the attached Combined Declaration and Power of Attorney (a copy of which is attached with a corresponding PTO Transmittal letter as mailed to the PTO on February 15, 2006). The requested name correction is also shown on the attached Filing Receipt.

Please add the following inventors:

- Ivan Ratchev, New South Wales, Australia--;
- Steve Osborn, New South Wales, Australia--.

Respectfully submitted,



---

G. Peter Nichols  
Registration No. 34,401  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/530,835	02/21/2006	1762		9378/188	1	24	2

757  
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610



CONFIRMATION NO. 7739

## FILING RECEIPT



\*OC000000018763502\*

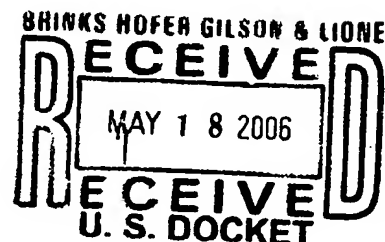
Date Mailed: 05/15/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Les Strezov, New South Wales, AUSTRALIA;

IVAN RATCHEV, NEW SOUTH WALES, AUSTRALIA  
STEVE ISBORN, NEW SOUTH WALES, AUSTRALIA

Power of Attorney: The patent practitioners associated with Customer Number 757.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/AU03/01330 10/09/2003

## Foreign Applications

## Acceptable Request to Retrieve Priority Application Received?

AUSTRALIA 2002951962 10/09/2002

NO

Projected Publication Date: 08/17/2006

Non-Publication Request: No

Early Publication Request: No

## Title

Electrochemical reduction of metal oxides

**Preliminary Class**

427

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



**COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR UTILITY OR DESIGN PATENT APPLICATION  
(37 C.F.R. §1.63)**

As a below named inventor, I hereby declare:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor or an original, first and joint inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled:

**ELECTROCHEMICAL REDUCTION OF METAL OXIDES**

the specification of which (check one)

- ☐ is attached hereto.
- ☒ was filed on April 8, 2005 as United States Application No. 10/530,835 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge my duty to disclose to the United States Patent and Trademark Office all information that I know to be material to the patentability of this application as defined in 37 C.F.R. §1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or (f), or §365(b) of any foreign application(s) for patent or inventor's or plant breeder's rights certificate(s), or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's or plant breeder's rights certificate(s) or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

Priority  
Not Claimed

<u>PCT/AU2003/001330</u> (Number)	<u>PCT</u> (Country)	<u>October 9, 2003</u> (Filing Date, MM/DD/YYYY)	<input type="checkbox"/>
<u>2002951962</u> (Number)	<u>Australia</u> (Country)	<u>October 9, 2002</u> (Filing Date, MM/DD/YYYY)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

<u>(Application Serial No.)</u>	<u>(Filing Date, MM/DD/YYYY)</u>	<u>(Status: pending, or abandoned)</u>
<u>(Application Serial No.)</u>	<u>(Filing Date, MM/DD/YYYY)</u>	<u>(Status: pending, or abandoned)</u>
<u>(Application Serial No.)</u>	<u>(Filing Date, MM/DD/YYYY)</u>	<u>(Status: pending, or abandoned)</u>

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International Application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

<u>PCT/AU2003/001330</u> (Application Serial No.)	<u>October 9, 2003</u> (Filing Date, MM/DD/YYYY)	<u>Published</u> (Status: patented, pending, abandoned)
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(Application Serial No.)	(Filing Date, MM/DD/YYYY)	(Status: patented, pending, abandoned)

(Application Serial No.)	(Filing Date, MM/DD/YYYY)	(Status: patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**I HEREBY APPOINT the following Practitioners as my attorneys, with full power of substitution and revocation, to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent(s), to transact all business in the United States Patent and Trademark Office connected therewith, and to act on my behalf before the competent International Authorities in connection with any and all international applications filed by me:**


<u>Name (Reg. No.)</u>	<u>Name (Reg. No.)</u>	<u>Name (Reg. No.)</u>
G. Peter Nichols, Reg. No. 34,401		

**PLEASE RECOGNIZE or change the CORRESPONDENCE ADDRESS for this application to the address associated with the following Customer Number:**

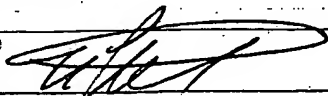
**Customer No. 00757 - Brinks Hofer Gilson Lione**


**PLEASE DIRECT** all telephonic and facsimile communications to:

**G. Peter Nichols**  
Tel: (312) 321-4200; Fax: (312) 321-4299

Full name of sole or first inventor <b>Les Strezov</b>	
Sole or first inventor's signature 	Date <b>23/1/06</b>
Residence (City, State/Foreign Country) <b>7 Marin Street, Adamstown, New South Wales, Australia 2289</b>	
Citizenship <b>Australian</b>	
Mailing Address <b>7 Marin Street, Adamstown, New South Wales, Australia 2289</b>	



Full name of second inventor, if any <b>Ivan Ratchev</b>	
Second inventor's signature 	Date <b>23.01.06</b>
Residence (City, State/Foreign Country) <del>22 Young Street, Georgetown</del> , New South Wales 2298, Australia <b>9 FRASER ST, JESMOND</b>	
Citizenship <b>Australian</b>	
Mailing Address <del>22 Young Street, Georgetown</del> , New South Wales 2298, Australia <b>9 FRASER ST, JESMOND</b>	

Full name of third inventor, if any <b>Steve Osborn</b>	
Third inventor's signature 	Date <b>23/01/06</b>
Residence (City, State/Foreign Country) <b>15 Robertson Road, Valentine, New South Wales, Australia 2280</b>	
Citizenship <b>Australian</b>	
Mailing Address <b>15 Robertson Road, Valentine, New South Wales, Australia 2280</b>	



## CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.9

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Mail Stop, Missing Parts, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:  
Date: February 15, 2006 Name: G. Peter Nichols Signature: *G. Peter Nichols*

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HOFFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Late Application of:

Strezov et al.

Serial No: 10/530,835

Examiner: unknown

Filed: April 8, 2005

Group Art Unit: unknown

For: ELECTROCHEMICAL REDUCTION OF  
METAL OXIDES

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

## Attached is/are:

☒ PTO Formalities Letter (Date Mailed: 09/01/05) Notice to File Missing Parts of Nonprovisional Application; Combined Declaration and Power of Attorney; Petition And Fee For Extension Of Time (37 CFR § 1.136(a)) (in duplicate).

☒ Return Receipt Postcard

## Fee calculation:

☐ No additional fee is required.

☐ Small Entity.

☒ An extension fee in an amount of \$1,590 for a four-month extension of time under 37 C.F.R. § 1.136(a) has been charged to Deposit Account No. 23-1925.

☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).

☐ An additional filing fee has been calculated as shown below:

			Small Entity			Not a Small Entity		
	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total	Minus			x \$25=			x \$50=	
Indep.	Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim				+\$180=			+\$360=	
				Total	\$		Total	\$

## Fee payment:

☐ A check in the amount of \$\_\_\_\_\_ is enclosed.

☒ Please charge Deposit Account No. 23-1925 in the amount of \$130.00 (Late Declaration Filing Fee). A copy of this Transmittal is enclosed for this purpose.

☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

*G. Peter Nichols*

February 15, 2006

Date

G. Peter Nichols (Reg. No. 34,401)